

## STATEMENT OF CLAIM/ANSWER AND AGREEMENT TO ARBITRATE THE MATTER OF CASE NAME CASE #

This form is our combined Statement of Claim/Answer and Agreement to Arbitrate. Paragraphs 1-8 make up the Claim Form and must be completed by each party to define and describe the dispute to be arbitrated. Paragraphs 9-10 constitute the Arbitration Agreement and define the rights of the arbitrating parties with each other and with United States Arbitration and Mediation Midwest, Inc. (hereinafter referred to as USA&M). The Agreement to Arbitrate must be signed and dated by all arbitrating parties.

Please return your completed form to USA&M, 500 N. Broadway, Suite 1800, St. Louis, Missouri 63102.

## **STATEMENT OF CLAIM/ANSWER** (circle one)

|    | INTIFF/DEFENDANT:cle one.)  |
|----|---|
| 1. | Name, address, phone number, and fax number of all parties and attorneys involved in the dispute: |
| 2. | Describe the dispute:   |
| 3. | State your position with regard to the dispute:   |
| 4. | Amount claimed is owed:   |
| 5. | Please identify all witnesses, including experts:   |
|    |   |

Please attach any contract(s) between the parties, which are the subject matter of this dispute.

6. Approximately how long do you think it will take to present your side of the dispute at the arbitration hearing (this includes any witnesses that will testify on your behalf):

7. Is there any lengthy or complex written material you want the arbitrator to consider? ( )yes ( )no. If your answer is "yes," identify the written material and when it will be submitted:

8. Please identify any specific arbitrator requirements or experience needed for this matter:

## AGREEMENT TO ARBITRATE

The parties agree to select an arbitrator and otherwise conduct the arbitration in accordance with the laws of the State of Missouri and the Consolidated Arbitration Rules of USA&M (hereinafter called Rules). Each party understands that USA&M and the arbitrator shall not be obligated to disclose and/or forward any award of the arbitrator until USA&M has been paid in full. Each party agrees to be liable for all fees and costs that shall be awarded by the arbitrator, per Section 10 of the Special Consumer Addendum to the Consolidated Arbitration Rules of USA&M. the event this matter should be turned over to collection by USA&M, the parties will be responsible for all costs of collection including a reasonable attorney's fee. The attorneys who sign below recognize that they shall be fully liable as their clients, as provided herein, and that it is not the obligation of USA&M to obtain payment from their individual or business client. The exclusive venues for any dispute with USA&M and/or the arbitrator shall be the Circuit Court of the City of St. Louis and the Circuit Court of St. Louis County, Missouri. All parties to the dispute herein and their counsel recognize that at every point in this proceeding USA&M, its employees and the arbitrator are not acting as legal advisor or representative or attorney for any party, counsel or any other participant in the arbitration. Further, there is not attorney-client privilege between the arbitrator and any party and/or any other participant in the arbitration. The parties also acknowledge and agree that the arbitrator is an independent contractor of USA&M. Costs for an internal appeal will be paid in accordance with the published fee schedule, if any, of USA&M. The parties are responsible for providing copies of all documents to all other parties arbitrating their claims herein. In addition, each party shall provide a copy of all pertinent documents to USA&M who shall forward said document(s) to the arbitrator, unless otherwise instructed in writing not to. (Final decisions on this issue shall reside with the Administrator). All documents shall become the property of USA&M and all documents left in the possession of USA&M may be destroyed without further notice thirty days after the Award is signed by the arbitrator. The parties acknowledge by their signature below that they have received a copy of the Rules. Further, by their signature below, the parties agree to be bound by the Rules, which are incorporated by reference herein. This document may be executed in multiple counterparts.

No party, counsel or non-party participant in the arbitration process will call or subpoena the arbitrator or USA&M and/or its agents, servants and employees (hereinafter 'Arbitration Forum') to produce in any civil action, arbitration, or other legal or administrative proceedings of any kind whatsoever any notes or documents related to the arbitration or to testify regarding any notes or documents or the arbitrator's thoughts or impressions. If so called or subpoenaed by anyone, the arbitrator and/or the Arbitration Forum will refuse to testify or to produce such notes or documents. Should any party, counsel, non-party participant or other signatory to this Agreement or any other participant in the arbitration process or any non-participating third party, entity or agency, attempt to compel such testimony or production, the parties or signatories to this Agreement, upon notice from the arbitrator and/or the Arbitration Forum, shall defend and indemnify at their joint and several expense, the arbitrator and/or the Arbitration Forum against any liabilities, costs or expenses, including reasonable attorneys' fees, which the arbitrator and/or the Arbitration Forum may incur resisting such compulsion. The parties and their counsel further agree that they may not introduce into evidence, or use for any purpose, any written or oral testimony whatsoever of the arbitrator and/or the Arbitration Forum, except the Award rendered.

Exceptions to confidentiality under the Missouri Rules of Professional Responsibility apply to all attorneys, including the arbitrator, involved in this arbitration.

THIS DOCUMENT SUBSTANTIALLY AFFECTS YOUR LEGAL RIGHTS. BY AGREEING TO ARBITRATE THIS DISPUTE, YOU GIVE UP YOUR LEGAL RIGHT TO BRING A COURT ACTION AND TO HAVE A JURY TRIAL. THIS CONTRACT CONTAINS A BINDING ARBITRATION PROVISION, WHICH MAY BE ENFORCED BY THE PARTIES.

| CLAIMANT   | DATE | CLAIMANT'S ATTORNEY   | DATE |
|------------|------|-----------------------|------|
| RESPONDENT | DATE | RESPONDENT'S ATTORNEY | DATE |

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